

**PATENT**  
Attorney Docket No. EMC-01 (22718)  
Orrick No. 16228-4007  
*Cella, et al.*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Charles CELLA, Edward J. KELLY, Matthew P. VINCENT

Title: CONTINGENCY-BASED OPTIONS AND FUTURES FOR  
EVENT TICKETS AND RELATED GOODS AND SERVICES

Serial No.: 09/586,723

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Art Unit: 3624

Examiner: Hani M. Kazimi

Confirmation No.: 7896

Customer No.: 34313

Via: USPTO EFS Web  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

This is in response to the Examiner's Action dated May 1, 2006, which Action was made final. A listing of the pending claims as amended begins on page 2. Remarks in response to the Examiner's rejections begin on page 5.